

Effective January 1, 2006, Public Act 05-237 requires all Medical Discount Plans ("MDP") offering services in the State of Connecticut to be licensed. The State of Connecticut Insurance Department ("Department") is charged with licensing MDPs. If you have any questions about your responsibility to be licensed, please refer to CT Public Act 05-237 which can be accessed on the Connecticut General Assembly website at http://www.cga.ct.gov/2005/act/Pa/2005PA-00237-R00HB-06619-PA.htm

Instructions:

To assure that a MDP license be issued prior to offering services in Connecticut, the Department suggests that applications be submitted at least two months in advance. If your Plan meets the guidelines for licensure, an invoice for the license fee of \$500 will be forwarded to you. This invoice must be paid prior to the license effective date.

The application must be filled out, completed, and signed by an officer or authorized representative of the MPD entity certifying that all information provided is true and accurate.

Submit your application and attachments to:

State of Connecticut Insurance Department Life and Health Division P O Box 816 Hartford, CT 06142-0816

Hand delivery or Overnight delivery address ONLY:

153 Market Street, 7th floor Hartford, CT 06103

DO NOT SUBMIT THE LICENSE FEE WITH THIS APPLICATION. You will be billed.



[] NEW []	RENEWAL
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FOR CALENDAR YEAR
Name of MDP:
MDP CT License Number (complete for license renewals only)
MDP Tax Identification Number (TIN/FEIN)
MDP Business Address:
MDP Mailing Address (if different):
MDP Phone Number:
Contact Information (used by the Department for all future correspondence):
Name: Title:
Mailing Address:
Phone number: FAX number:
E-mail address:



Controlling	company's or organization's contact name:
Busin	ness Address:
Mail	ing Address (if different):
Name of rel	ated or predecessor controlling company or organization:
Addı	ress:
Explain cur	rent relationship with related or predecessor controlling company:
	pension, sanction or disciplinary action been taken against the MDP in or any other state?
Connecticut	• • •
Connecticut	or any other state? No
Connecticut [] [] Has any sus	or any other state? No



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Indi	eate types of discount services that the MDP provides to Connecticut enrollees:
	[] Physician Medical services
	[] Hospital services
	[] Laboratory services
	[] Radiology services
	[] Prescription Drugs
	Dental Services
	Other – List types of services



PLEASE SUBMIT THE FOLLOWING AS ATTACHMENTS:

[] 1.	A copy of the applicant's articles of incorporation, or articles of organization, including all amendments.
[] 2.	A copy of the applicant's bylaws.
[]3.	Certificates from the Secretary of State affirming that the MDP and its controlling company or organization (if applicable) is in good standing in the state. For out of state MDPs, controlling companies or organizations, a certificate that such MDP, controlling company or organization is in good standing in its state of organization.
[]4.	A list of the names, addresses, official positions of the individuals who are responsible for conducting the applicant MDP"s affairs, including, but not limited to, all members of the board of directors, board of trustees, executive committee, or other governing committee, the officers, contracted management company personnel, and any person or entity owning or having the right to acquire ten per cent or more of the voting securities of the applicant. This list shall fully disclose the extent and nature of any contracts or arrangements between the applicant and any individual who is responsible for conducting the applicant's affairs, including any possible conflicts of interest.
[] 5.	Biographical affidavits on the form provided for each person listed above.
[] 6.	A statement generally describing the applicant, its personnel and the health care services offered at a discount.
[]7.	A copy of the form of all contracts made or to be made between the applicant and any providers or provider networks regarding the provision of discount health care services to members.
[] 8.	A copy of the form of any contract made or to be made between the applicant and the individuals listed in item 4 above.
[] 9.	A copy of the form of any contract made or to be made between the applicant and any person for the performance on the applicant's behalf of any function, including, but not limited to, marketing, administration, enrollment, and subcontracting for the provision of health care services to members.
[] 10.	A copy of the applicant's most recent financial statements audited by an independent certified public accountant, or, in the case of an applicant that is a

subsidiary of a person or parent corporation that prepares audited financial statements reflecting the consolidated operations of the person or parent



corporation, a copy of the person's or parent corporation's most recent financial statements audited by an independent certified public accountant, provided the person or parent company also issues a written guarantee that the minimum capital requirements of the applicant required will be met.

[] 11.	A description of the proposed method of marketing.
[] 12.	A description of the subscriber complaint procedures to be established and maintained.
[] 13.	The Internet website address of the MPD which includes the up-to-date list of the names and addresses of the providers with which it has contracted.



OFFICER OR AUTHORIZED REPRESENTATIVE CERTIFICATION OF ACCURACY

, 9	of
(Printed Name)	(Title)
	, hereby certify that
(Medical Discount Plan)	
have reviewed the information submitted in accordance we information is true and accurate. I understand that at leftten notice of any change in the medical discount organissiness address or mailing address must be provided to the reby certify that I am acting on my own behalf, and that it decorrect to the best of my knowledge and belief.	east thirty (30) days advance zation's name, address, principal e Insurance Commissioner. I
ignature of Officer or Authorized Representative)	(Date)
ate of County of	
e foregoing instrument was acknowledged before me this	day of,
By, and:	
who is personally known to me, or	
who produced the following identification:	
[SEAL]	Notary Public
	Printed Notary Nan
	My Commission Exp



BIOGRAPHICAL AFFIDAVIT

To the extent permitted by law, this affidavit will be kept confidential by the state insurance regulatory authority.

(Print or Type)

In connection with the above-named entity, I herewith make representations and supply information about myself as hereinafter set forth. (Attach addendum or separate sheet if space hereon is insufficient to answer any question fully.) IF ANSWER IS "NO" OR "NONE," SO STATE.

1.	a.	Affiant's Fu	ull Name (Initials Not Acce	ptable)			_
	b.	Maiden Nai	me (if applicable)				
2.	a.	Have you e full name(s)	ver had your name changed).	1?	If yes, give the reas	son for the change and provide the	
	b.		s used at any time (including				
3.	a.		itizen of the United States?				
	b.	Are you a c	itizen of any other country,	if so, wha	it country?		
4.	Aff	iant's Occup	ation or Profession.				
5.	Aff	iant's busine	ss address.				
	Bus	siness telepho	one.				
6.	Edu	ucation and T	raining:				
College	/ Un	iversity	City/ State		Dates Atte	nded (MM/YY) Degree Obtained	
Graduat	e Sti	udies:	College/ University	City/ Sta	ate Dates Atte	nded (MM/YY) Degree Obtained	-
Other T	raini	ng: Name	City/ State	Dates A	ttended (MM/YY)	Degree/Certification Obtained	•
							_

(Note: If affiant attended a foreign school, please provide full address and telephone number of the college/university. If applicable, provide the foreign student Identification Number in the space provided in the Biographical Affidavit Supplemental Information.)



	Name of Society/Associa		Contact Name	Address of Society/Association	Telephone Number of Society/Association
8.	Present or prope	osed position wit	h the applicant entity.		
9.	and including p	oresent jobs, pos officerships). Ple	itions, partnerships, own ase list the most recent	0) years, whether compensate ner of an entity, administrator first. Attach additional pages numbers and supervisory inf	r, manager, operator, if the space provided
Beginn Dates (ing/Ending (MM/YY)	-	Employers'Name		
				State/Province	
				Offices/Positions I	
Superv	isor / Contact				
	ing/Ending (MM/YY)	<u> </u>	Employers' Name		
Addres	s		City	State/Province	.
Countr	у	Postal Code _	Phone	Offices/Positions I	leld
Superv	isor / Contact				
	ing/Ending (MM/YY)		Employers'Name		
Beginn Dates (City	State/Province	
Dates (3S				
Dates (Offices/Positions F	leld



	b.	Have you ever been der revoked? If yes, give de			dule fidelity bond, or had a bond canceled or
11.	any pre: nan	public or government sently hold or have held	al licensing agency in the past. For any e number of the lice	or regulatory y non-insurance nsing authority	cluding licenses to sell securities) issued by authority or licensing authority that you e regulatory issuer, identify and provide the or regulatory body having jurisdiction over ided is insufficient.
Organ	ization	n/Issuer of License		Address	
City _		State/Pro	vince	Country	Postal Code
Licens	se Тур	e 1	License #		Date Issued (MM/YY)
Date E	Expire	d (MM/YY)	Reason for	Termination _	
Non-in	nsuran	ce Regulatory Phone Nu	mber (if known		
Organ	izatio	1 /Issuer of License		Address	
City _		State/Pro	vince	Country	Postal Code
Licens	se Тур	eL	icense #		Date Issued (MM/YY)
Date E	Expire	d (MM/YY)	Reason for	Termination _	
Non-ii	nsuran	ce Regulatory Phone Nu	mber (if known)		
12.		ified that the record was			or expunged, and the affiant has personally ay respond "no" to the question. Have you
	a.	Been refused an occupa or any public administra			icense or permit by any regulatory authority, ency?
	b.	Had any occupational, p to any judicial, adminis			or permit you hold or have held, been subject action?
	c.			administrative.	or your occupational, professional, or , regulatory, or disciplinary action?
	d.	Been charged with, or i	ndicted for, any crin		other than civil traffic offenses?
	e.	Pled guilty, or nolo co-offenses?	ntendere, or been co	onvicted of, an	y criminal offense(s) other than civil traffic



13.

14.

t.	Had adjudication of guilt withheld, had a sentence imposed or suspended, had pronouncement of a sentence suspended, or been pardoned, fined, or placed on probation, for any criminal offense(s) other than civil traffic offenses?
g.	Been subject to a cease and desist letter or order, or enjoined, either temporarily or permanently, in any judicial, administrative, regulatory, or disciplinary action, from violating any federal, state law or law of another country regulating the business of insurance, securities or banking, or from carrying out any particular practice or practices in the course of the business of insurance, securities or banking?
h.	Been, within the last ten (10) years, a party to any civil action involving dishonesty, breach of trust, or a financial dispute?
i.	Had a finding made by the Comptroller of any state or the Federal Government that you have violated any provisions of small loan laws, banking or trust company laws, or credit union laws, or that you have violated any rule or regulation lawfully made by the Comptroller of any state or the Federal Government?
j.	Had a lien or foreclosure action filed against you or any entity while you were associated with that entity?
	he response to any question above is answered "Yes", please provide details including dates, locations, position, etc. Attach a copy of the complaint and filed adjudication or settlement as appropriate.
ind cor ma tha res if	t any entity subject to regulation by an insurance regulatory authority that you control directly or irectly. The term "control" (including the terms "controlling," "controlled by" and "under common introl with") means the possession, direct or indirect, of the power to direct or cause the direction of the magement and policies of a person, whether through the ownership of voting securities, by contract other in a commercial contract for goods or non-management services, or otherwise, unless the power is the ult of an official position with or corporate office held by the person. Control shall be presumed to exist any person, directly or indirectly, owns, controls, holds with_the power to vote, or holds proxies resenting, ten percent (10%) or more of the voting securities of any other_person.
If a	any of the stock is pledged or hypothecated in any way, give details.
ber by spe cor the	[Will] you or members of your immediate family individually or cumulatively subscribe to or own, neficially or of record, 10% or more of the outstanding shares of stock of any entity subject to regulation an insurance regulatory authority, or its affiliates? An "affiliate" of, or person "affiliated" with, a scrific person, is a person that directly, or indirectly through one or more intermediaries, controls, or is introlled by, or is under common control with, the person specified. If the answer is "Yes", please identify company or companies in which the cumulative stock holdings represent 10% or more of the estanding voting securities.



	If any of the shares of stock are pledged or hypothecated in any way, give	
5.	Have you ever been adjudged a bankrupt? If yes, provide det	ails
6.	To your knowledge has any company or entity for which you wer investment committee member, key management employee or contro following events occur while you served in such capacity? If yes, pleas responding to questions (b) and (c) affiant should also include any even his or her departure from the entity.	lling stockholder, had any of the se indicate and give details. When
	a. Been refused a permit, license, or certificate of authority beginning agency?	by any regulatory authority, or
	b. Had its permit, license, or certificate of authority suspended, resubjected to any judicial, administrative, regulatory, or disciplinar liquidation, receivership, conservatorship, federal bankruptcy proceed or any other similar proceeding)?	y action (including rehabilitation, eding, state insolvency, supervision
	 c. Been placed on probation or had a fine levied against it or against authority in any civil, criminal, administrative, regulatory, or discipling the second of the positive and an explanation provided. 	inary action?
	Dated and signed this day of 20at under penalty of perjury that I am acting on my own behalf, and that the correct to the best of my knowledge and belief.	I hereby certify e foregoing statements are true and
	(Signature of Affiant)	Date
e of	County of	
fore	egoing instrument was acknowledged before me thisday of, and:	, 20By
who	o is personally known to me, or	
	o produced the following identification:	
	[SEAL]	Notary Public
		Printed Notary Name
		My Commission Expires



BIOGRAPHICAL AFFIDAVIT Supplemental Information

(Print or Type)

1.	a. Affiant's	Full Name (Initials	Not Acceptable).			
	b. Maiden N	ame (if applicable))	• • • • • • • • • • • • • • • • • • • •		
2.						,
3.	Government Identification Number if not a U.S. Citizen					
4.						
5.						
6.	Name of Affia	ant's Spouse (if app	licable)			····
7.	List your resid	lences for the last to	en (10) years starti	ng with your current ad	ldress, giving:	
Begini Da	ning/Ending tes			State/		
	YY)	Address	City	Province	Country	Postal Code



Dated and signed this day of		, 20 at		I hereby	
certify under penalty of p correct to the best of my k	erjury that I am acting on my	own behal	f, and that the	foregoing statement	s are true and
correct to the best of my k	mowledge and belief.				
(Sign	ature of Affiant)		-	D	ate
State of	County of				
The foregoing instrument	was acknowledged before me t	this	day of	, 20	Ву
	, and:				
□ who is personally kno	own to me, or				
□ who produced the fol	lowing identification:				
[SEAL]			_	. N	otary Public
			-	Printe	ed Notary Name
			_	My Coi	mmission Expires



DISCLOSURE AND AUTHORIZATION CONCERNING BACKGROUND REPORTS
This Disclosure and Authorization is provided to you in connection with pending or future application(s) of
You may obtain copies of any Background Reports about you from the consumer reporting agency ("CRA") that produces them. You may also request more information about the nature and scope of such reports by submitting a written request to Company. To obtain contact information regarding CRA or to submit a written request for more information, contact[insert company's designated person, position, or department, address and phone].
Attached for your information is a "Summary of Your Rights Under the Fair Credit Reporting Act."
AUTHORIZATION: I am currently an Affiant of Company as defined above. I have read and understand the above Disclosure and by my signature below, I consent to the release of Background Reports to a department of insurance in any state where Company files or intends to file an Application, and to the Company, for purposes of investigating and reviewing such Application and my status as an Affiant. I authorize all third parties who are asked to provide information concerning me to cooperate fully by providing the requested information to CRA retained by Company for purposes of the foregoing Background Reports, except records that have been erased or expunged in accordance with law.
I understand that I may revoke this Authorization at any time by delivering a written revocation to Company and that Company will, in that event, forward such revocation promptly to any CRA that either prepared or is preparing Background Reports under this Disclosure and Authorization. This Authorization shall remain in full force and effect until the earlier of (i) the expiration of the Term of Affiliation, (ii) written revocation as described above, or (iii) twelve (12) months following the date of my signature below.
A true copy of this Disclosure and Authorization shall be valid and have the same force and effect as the signed original.
(Printed Full Name and Residence Address)
(Signature) (Date)
State of County of
The foregoing instrument was acknowledged before me thisday of By, and

☐ who is personally known to me, or



□ who produced the following identification:	
[SEAL]	Notary Public
	Printed Notary Name
	My Commission Expires



This Disclosure and Authorization is provided to you in connection with pending or future application(s) of[insert company name]("Company") for licensure or a permit to organize ("Application") with a department of insurance in one or more states within the United States. Company desires to procure a consumer or investigative consumer report (or both)("Background Reports") regarding your background for review by a department of insurance in any state where Company pursues an Application during the term of your functioning as, or seeking to function as, an officer, member of the board of directors or other management representative
("Affiant") of Company or of any business entities affiliated with Company ("Term of Affiliation") for which a Background Report is required by a department of insurance reviewing any Application. Background Reports requested pursuant to your authorization below may contain information bearing on your character, general reputation, personal characteristics, mode of living and credit standing. The purpose of such Background Reports will be to evaluate the Application and your background as it pertains thereto. To the extent required by law, the Background Reports procured under this Disclosure and Authorization will be maintained as confidential.
You may request more information about the nature and scope of Background Reports produced by any consumer reporting agency ("CRA") by submitting a written request to Company. You should submit any such written request for more information, to[insert company's designated person, position, or department, address and phone].
Attached for your information is a "Summary of Your Rights Under the Fair Credit Reporting Act." You will be provided with a copy of any Background Report procured by Company if you check the box below.
☐ By checking this box, I request a copy of any Background Report from any CRA retained by Company, at no extra charge.
AUTHORIZATION: I am currently an Affiant of Company as defined above. I have read and understand the above Disclosure and by my signature below, I consent to the release of Background Reports to a department of insurance in any state where Company files or intends to file an Application, and to the Company, for purposes of investigating and reviewing such Application and my status as an Affiant. I authorize all third parties who are asked to provide information concerning me to cooperate fully by providing the requested information to CRA retained by Company for purposes of the foregoing Background Reports, except records that have been erased or expunged in accordance with law.
I understand that I may revoke this Authorization at any time by delivering a written revocation to Company and that Company will, in that event, forward such revocation promptly to any CRA that either prepared or is preparing Background Reports under this Disclosure and Authorization. This Authorization shall remain in full force and effect until the earlier of (i) the expiration of the Term of Affiliation, (ii) written revocation as described above, or (iii) twelve (12) months following the date of my signature below.
A true copy of this Disclosure and Authorization shall be valid and have the same force and effect as the signed original.
(Printed Full Name and Residence Address)
(Signature) (Date)
State of County of

The foregoing instrument was acknowledged before me this _____ day of_____, 20_____ By



□ who is personally known to me, or	
□ who produced the following identification:	
[SEAL]	Notary Public
	Printed Notary Name
	My Commission Expires



DISCLOSURE AND AUTHORIZATION CONCERNING BACKGROUND REPORTS (California)

This Disclosure and Authorization is provided to you in connection with a pending application of
You may request more information about the nature and scope of Background Reports produced by any consumer reporting agency ("CRA") by submitting a written request to Company. You should submit any such written request for more information, to[insert company's designated person, position, or department, address and phone].
Attached for your information is a "Summary of Your Rights Under the Fair Credit Reporting Act." You will be provided with a copy of any Background Report procured by Company if you check the box below.
☐ By checking this box, I request a copy of any Background Report from any CRA retained by Company, at no extra charge.

Under section 1786.22 of the California Civil Code, you may view the file maintained on you by the CRA listed above. You may also obtain a copy of this file, upon submitting proper identification and paying the costs of duplication services, by appearing at the CRA in person or by mail; you may also receive a summary of the file by telephone. The CRA is required to have personnel available to explain your file to you and the CRA must explain to you any coded information appearing in your file. If you appear in person, you may be accompanied by one other person of your choosing, provided that person furnishes proper identification.

AUTHORIZATION: I am currently an Affiant of Company as defined above. I have read and understand the above Disclosure and by my signature below, I consent to the release of Background Reports to a department of insurance in any state where Company files or intends to file an Application, and to the Company, for purposes of investigating and reviewing such Application and my status as an Affiant. I authorize all third parties who are asked to provide information concerning me to cooperate fully by providing the requested information to CRA retained by Company for purposes of the foregoing Background Reports, except records that have been erased or expunged in accordance with law.

I understand that I may revoke this Authorization at any time by delivering a written revocation to Company and that Company will, in that event, forward such revocation promptly to any CRA that either prepared or is preparing Background Reports under this Disclosure and Authorization. In no event, however, will this authorization remain in effect beyond twelve (12) months following the date of my signature below.



A true copy of this Disclosure and Authorization shall be valid and have the same for original. (Printed Full Name and Residence Address)	'ce and effect as the signed
(Signature)	(Date)
State of County of	
The foregoing instrument was acknowledged before me this day of, and	, 20 By
□ who is personally known to me, or □ who produced the following identification:	-
[SEAL]	Notary Public
	Printed Notary Name
	My Commission Expire



(As to Surety)

State of Connecticut Medical Discount Plan (MDP) License Application

SAMPLE BOND FORM STATE OF CONNECTICUT MEDICAL DISCOUNT PLAN (MDP) BOND

KNOW ALL MEN BY THESE PRESENTS

That we,			of the
	(Name of MDP)	-	
County of		State of	
as Principal, and		**************************************	, a surety
company having its principal pla	ace of business in	,,	
County of	State of		duly authorized to
do business in the State of Connthe MEDICAL DISCOUNT PLA	ecticut, as Surety, are AN (MDP) named, a	e held and firmly boun s Obligees, in the sum	d unto the member/providers of of
		dollars (\$	for the payment of
which sum the said Principal and		nd severally bind them:	selves, their heirs, executors,
administrators, successors, and a	assigns, and each and	every one of them fire	nly by these presents.
regulation promulgated thereund described in Section 2(j) of P.A.	ler. This surety is int 05-237: "Each medic wo hundred fifty tho	tended for the sole purportal discount Plan organ	ons of Public Act 05-237 and any pose of meeting the obligation as sization shall at all times (1) osted a surety bond in the amount
PROVIDED HOWEVE involuntary termination of such			cease upon the voluntary or ave been accrued thereto.
IN WITNESS WHEREOF	, the said Principal a	nd Surety have signed	and sealed this instrument this
day of		_ 20	
WITNESS	Ву		L.S.
(As to Principal)			L.S.
(As to Surety)	By		L.S. Corporate Seal L.S.
(As to butery)	ъy		L.D.